SEP 1 4 2001

PATENT/

Application of:

Applicant

Paul S. Prevey III

Serial No.

09/516,327

Filed

March 1, 2000

Examiner

Lilybett Martir

Group

2855

Docket

LRI-004PAT

Title

METHOD FOR REDUCING TENSILE STRESS ZONES IN

THE SURFACE OF A PART

E UNITED STATES PATENT AND TRADEMARK OFF

Hon. Assistant Commissioner of Patents

Washington, D.C. 20231

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to the applicant's duty of good faith and candor to the Patent Office under 37 C.F.R. 1.56, the Examiner's attention is directed to the following references identified on the attached form PTO-1449. A copy of each reference is attached. The cited references were not known at the time of filing the application.

Reference AV, U.S. Patent No. 1,784,844 to Frhrenwald is directed to a method of strain hardening steel showing the step of pressing into the working surface of the casting a rounded end tool.

Reference AW, Japanese Patent No. 62-292362 to Yonezawa et al. is directed to a perform roll processing by regarding both end sides, in the circumferential direction, of a maximum stress part and a region, in the circumferential direction, of a minimum stress part as a transient region of respective pressing forces and processing a work with lower pressuring force than a constant one.

CERTIFICATION OF MAILING

I hereby certify that this Information Disclosure Statement and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date <u>September 12 2001</u>, as First Class Mail, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

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Mark F Smith

(Person Mailing Paper)

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Reference AX, French Patent No. 2,662,623 to Gabriel et al. is directed to a method for the roller

burnishing, with straightening, or crankshafts, consisting in applying rollers under pressure in the

shoulders for connecting the bearing surfaces of the crankshaft driven in rotation, according to a

predetermined profile, characterised in that the steps are carried out in succession.

Reference AY, Ep Patent No. 0,041,248 is directed to smoothing rollers pressed against the bore

of a workpiece. A computer operates to regulate rolling pressure.

Representation as to the pertinency of the cited art has been made in good faith and is based upon

present understanding of the claimed invention and the scope and content of the cited art. It is to be

understood that the present submission of art is in no way intended to be a waiver of any arguments

under the Rules of the U.S. Patent and Trademark Office and the statutes of the United States.

Respectfully submitted,

Date: Sept

Mark F. Smith (32,437)

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TRA	NSMI	TTAL OI (U	Docket No. LRI-004PAT							
In Re Application Of: Paul S. Prevey III										
Serial No. 09/516,327			Fixed Date March 1, 2000	Examiner	Group Art Unit					
				Lilybett Martir	2855	4				
Title: METHOD FOR REDUCING TENSILE STRESS ZONES IN THE SURFACE OF A PART Address to: Assistant Commissioner for Patents Washington, D.C. 20231										
			Assistant Com	ddress to: missioner for Patents ton, D.C. 20231	ROOM					
	37 CFR 1.97(b)									
1.	The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.									
			37 CI	FR 1.97(c)						
2. 🗵	The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:									
		1.	a Final Action under 37 CFR 1.1	13, or						
		2.	a Notice of Allowance under 37 (OFR 1.311,						
	whichever occurs first.									
	Also submitted herewith is:									
	☐ a certification as specified in 37 CFR 1.97(e);									
OR										
	×		et forth in 37 CFR 1.17(p) for s CFR 1.97(c).	submission of an Information	n Disclosure Statement					

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) 0) LRI-004PAT										
In Re Application Of: Paul S. Prevey III										
Serial No. 09/516,327	Filing Date March 1, 2000	Examiner Lilybett Martir	Group Art Unit 2855							
Title: METHOD FOR REDUCING TENSILE STRESS ZONES IN THE SURFACE OF A PART										
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